

Notice is hereby given of the

Risk & Assurance Committee

Thursday 1 October 2026 at 1.00pm

Environmental Southland Council Chamber, 220 North Road, Invercargill
26/RA/74

Committee Members:

Mr Andrew Douglas - Chair
Cr Jon Pemberton
Cr David Rose
Cr Lyndal Ludlow
Cr Maurice Rodway
Cr Alastair Gibson
Cr Roger Hodson
Chair Jeremy McPhail (ex officio)

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Dom Rikiti

Acting General Manager People & Governance

RECOMMENDATIONS IN COUNCIL REPORTS ARE NOT TO BE CONSTRUED AS COUNCIL POLICY UNTIL ADOPTED BY COUNCIL

Terms of Reference

Risk and Assurance Committee | Komiti tūraru me te whakaaetanga

Council assigns to the Committee responsibilities from time-to-time, and the Committee provides advice and reports to Council on governance, risk management and internal control.

The Committee will review the effectiveness of the following aspects of governance, risk management and internal control:

1. oversight of risk management across Council (including Council-Controlled organisations). This incorporates corporate disciplines and systems and infrastructure and facilities, including:
 - risk policy, framework and strategy;
 - council's "risk appetite" statement;
 - the effectiveness of risk management and internal control systems including all material financial, operational, compliance and other material controls including health and safety and business continuity and resilience;
 - new and/or emerging risks, and any subsequent changes to risk register;
 - draw Council attention to any other issues that the committee believes may negatively impact attainment of organisational mission and/or goals.
2. internal and external audit and assurance, including:
 - internal audit plans and programmes of work;
 - assurance reports dealing with audit findings, conclusions and recommendations (including CCOs);
 - management of the relationship with Council's external auditors;
 - management's responsiveness to findings and recommendations;
 - the Office of the Auditor General plans, findings and other matters of mutual interest.
3. integrity matters, including employee, contractor and elected member interests and ethics-based policies and issues.
4. monitoring of compliance with laws and regulations;
5. significant projects and/or programmes of work focussing on the appropriate management of risk;
6. Council's compliance with the requirement to prepare external information through its Long-term Plan, Annual Plan and Annual Report and other external reports required by statute.

Chairman: Andrew Douglas (Independent Appointee)

Deputy Chairman: Cr Lyndal Ludlow

Members: Cr Alastair Gibson, Cr Roger Hodson, Cr Jon Pemberton, Cr David Rose, Cr Maurice Rodway, Chairman Jeremy McPhail (ex officio)

Quorum: Four

1 Welcome | Haere mai

2 Apologies | Ngā pa pouri

At the time of the agenda closing, no apologies had been received for this meeting.

3 Declarations of interest

At the time of the agenda closing. No declarations of interest had been received for this meeting.

4 Public forum, petitions and deputations | He huinga tuku korero

At the time of the agenda closing, no public forum, petitions or deputations were received for the meeting.

5 Notification of extraordinary items/urgent business | He panui autia hei totoia pakihi

6 Chairman and councillors reports | Ngā purongo-a- tumuaki me ngā kaunihera

At the time of the agenda closing, no Councillor Reports were received for the meeting.

7 Questions | Patai

8 Reports

At the time of the agenda closing, no Reports were received for the meeting.

9 Extraordinary/urgent business | Panui autia hei totoia pakihi

10 Public excluded business | He hui pakihi e hara mo te iwi

In accordance with section 48(1) of the Local Government Official Information and Meetings Act 1987 and the particular interest/s protected by section 7 of that act, that the public be excluded from the following parts of the proceedings of this meeting, namely:

- **10.1 Draft Annual Report 2025/26**
- **10.2 Audit Management Letter & Management Response**

The general subject matters to be considered while the public is being excluded, the reason for this resolution in relation to the matter, and the specific grounds for excluding the public, as specified by Section 48(1) of the Local Government Official Information and Meetings Act 1987 are set out below:

10.1 Draft Annual Report 2025/26

Report by: Marcus Roy, Strategy & Policy Manager

Approved by: Dom Rikiti, Acting General Manager People & Governance

Section under the act	The grounds on which part of the Council or committee may be closed to the public are listed in s48(1) of the Local Government Official Information and Meetings Act 1987 (the act)
Sub-clause and reason	S.7(2)(i) - to carry on without prejudice or disadvantage, negotiations (including commercial and industrial negotiations).

10.2 Audit Management Letter and Management Response

Report by: Jody Lloyd, Finance, Property & Fleet Manager

Approved by: Dom Rikiti, Acting General Manager People & Governance

Section under the act	The grounds on which part of the Council or committee may be closed to the public are listed in s48(1) of the Local Government Official Information and Meetings Act 1987 (the act)
Sub-clause and reason	S.7(2)(i) - to carry on without prejudice or disadvantage, negotiations (including commercial and industrial negotiations).